The Creation of a Pediatric Health Care Learning Network: The ACTION Quality Improvement Collaborative

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Improving the outcomes of pediatric patients with congenital heart disease with end-stage heart failure depends on the collaboration of all stakeholders; this includes providers, patients and families, and industry representatives. Because of the rarity of this condition and the heterogeneity of heart failure etiologies that occur at pediatric centers, learnings must be shared between institutions and all disciplines to move the field forward. To foster collaboration, excel discovery, and bring data to the bedside, a new, collaborative quality improvement science network-ACTION (Advanced Cardiac Therapies Improving Outcomes Network)-was developed to meet the needs of the field. Existing gaps in care and the methods of improvement that will be used are described, along with the mission and vision, utility of real-world data for regulatory purposes, and the organizational structure of ACTION is described. ASAIO Journal XXX; XX:00-00.

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A learning health system offers an effective approach to improving clinical outcomes in a given patient population. Learning health systems, as defined by the Institute of Medicine, combine both afferent approaches of data collection and analytics, with efferent approaches that apply lessons learned through quality improvement science, cultural change, and change management.¹ The objective is to improve outcomes through discovery of new knowledge and application of existing knowledge, in ways that are complementary to traditional clinical research and not merely a new means to conduct clinical investigations. Components of a learning health system include multistakeholder collaboration, quality improvement science, and data analytics. Numerous examples exist of such systems and offer testimony to the magnitude of impact that such a system can have on a patient population.

The characteristics of a learning health system are especially valuable to low volume, high complexity orphan patient populations, which are often not effectively served by traditional clinical research and for whom the knowledge base for care is often inadequate. The ACTION (Advanced Cardiac Therapies Improving Outcomes Network), multicenter learning health network, was developed to harness the benefits of a learning health system for the pediatric population with heart failure across inpatient and outpatient domains. In this longitudinal focus on the entire arc of heart failure, ACTION differs fundamentally from inpatient registries and networks such as PC4 (Pediatric Cardiac Critical Care Consortium) and ELSO (Extracorporeal Life Support Organization). The formation and initial objectives of the network are described in this article.

Current State

Pediatric Heart Failure: Outcomes and Challenges

In the United States, 11,000–14,000 children are hospitalized annually with a diagnosis involving heart failure.² Congenital heart disease (CHD) comprises approximately 60% of all pediatric heart failure hospital admissions. Children with heart failure experience very high morbidity and mortality, with median length of stay exceeding 17 days, and mortality of 7%.^{2,3} Nearly 50% of children diagnosed with dilated cardiomyopathy, the most common phenotype of cardiomyopathy, succumb to their disease or undergo heart transplant within 5 years of diagnosis.⁴

Patients with severe, refractory heart failure listed for heart transplant face a high overall waiting list mortality of 8%.⁵ Increasingly, children with severe heart failure are supported with ventricular assist devices (VADs) to aid in

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stabilization while awaiting heart transplantation.⁶ Although VADs have improved waiting list survival by more than 50%,⁵ overall mortality on VAD support is still 19%.⁷ In certain subgroups, survival is considerably worse. The youngest patients, less than 1 year of age at the time of VAD implant, have less than 50% survival at 6 months if they remain on a VAD.⁷ Children supported with a VAD with CHD have much higher mortality rates and lower transplant rates than those without CHD.⁸

In addition, children on VAD experience a high rate of serious adverse events. Nearly 30% of children with paracorporeal VADs suffer some type of neurologic event (stroke, hemorrhage or seizure) in the first 3 months of support, and neurologic dysfunction is the leading cause of death on VAD overall.⁷ Other common adverse events include bleeding, infection, and device malfunction.⁹

Finally, the cost of treating pediatric heart failure is high and is rapidly increasing. Between 2000 and 2009, the median cost of a pediatric heart failure hospitalization nearly doubled (from \$35,000 to \$72,000).³ When VADs are used, the median hospitalization cost climbs steeply to \$750,000 with a median hospital stay of 81 days.¹⁰

Pediatric health care centers experience many challenges when treating pediatric patients with heart failure, especially when VADs are deployed. There is high variability in anatomy, neurodevelopmental status and somatic growth, as well as frequent end-organ dysfunction and genetic malformations, necessitating a multidisciplinary team approach to care for these complex and fragile patients.¹¹ Low patient volumes at any one center make it difficult for providers and teams to standardize care and improve outcomes for this patient population.

The challenges of managing pediatric heart failure are amplified in the VAD treatment group. The Pedimacs registry data indicate that over a 3 year period, 43% of centers implanted only a single pediatric device and only 17% of centers implanted more than 5 devices.¹² Inevitably, low patient volume results in variability in care delivery, staff experience, knowledge, and practices. Low patient volume has been previously associated with decreased survival.^{8,13} It is difficult for individual centers to improve the care of complex heart failure and VAD patients that present in low volumes as learning and quality improvement for care delivery arise from experience. As it is currently, many at-risk children are unable to access the most experienced, specialized, and resourced centers. Individual centers suffer slow learning curves unless collaboration across centers is present.¹⁴

Need for Learning Health System for Pediatric Heart Failure

The challenges described above highlight a critical need for the development of a collaborative learning health system for pediatric patients with heart failure.

Development of the Advanced Cardiac Therapies Improving Outcomes Network

Mission

The mission of ACTION is to improve critical outcomes and the patient/family experience for children with heart failure by developing an international collaborative learning health network that unites all key stakeholders (patients, families, providers, researchers, industry, and regulatory bodies).

Logic Model

An outcomes-focused logic model was developed to examine the assumptions, inputs, activities, outputs, and outcomes of the challenges to be overcome by participants. The model incorporates external factors that act as barriers to achieving improvement. **Figure 1** provides an overview of the model.

Assumptions. The assumptions of this model rest on the characteristics of the pediatric population with heart failure detailed above and include the combination of low volume with high complexity, for which a multi-institutional collaborative learning health system can potentially improve outcomes.^{11,15}

Inputs. The model includes several input resources, listed below.

- Content expertise: Clinicians such as pediatric cardiologists, nurses, and coordinators specialized in heart failure and VAD care assist with the design of the data repository, collection of clinical data, and implementation of quality improvement initiatives.¹⁶
- Patient and family engagement: Measured outcomes expand beyond clinical end-points to include both quality of life and patient experience measures.¹⁵
- Data analysts and researchers: These are essential for analyzing and interpreting data so that quality improvement projects can be evidence-driven and outcomes can be appropriately measured and communicated.¹⁷
- Industry stakeholders: Improve design and labeling of devices for use in pediatrics.⁹

Activities.

- Evaluating established learning health systems
- Building a multi-institutional data repository
- Developing a protocol to guide patient consent, enrollment, and data collection¹⁵
- Developing educational materials for patients and providers
- Conducting quality improvement projects across the learning health system¹⁷

Outputs. There are numerous outputs of this model.

- Identification of strengths and challenges of this collaboration system
- Comprehensive data registry available for participating system centers¹⁶
- Standardized and accessible education materials for providers, patients, and families
- Systematic and effective development of collaborative interventions designed to improve care delivery in real time¹⁵

Outcomes. The logical end-points for this model are the development of short-, medium- and long-term outcomes. An immediate short-term outcome includes the creation and establishment of a learning health system for participating pediatric heart failure centers. Other outcomes follow from this, such as incorporation of a comprehensive data set that far exceeds the volume of VAD patients available to any single

ACTION LEARNING NETWORK

Problem: How do pediatric healthcare centers improve outcomes for low volume, high complexity heart failure patients?

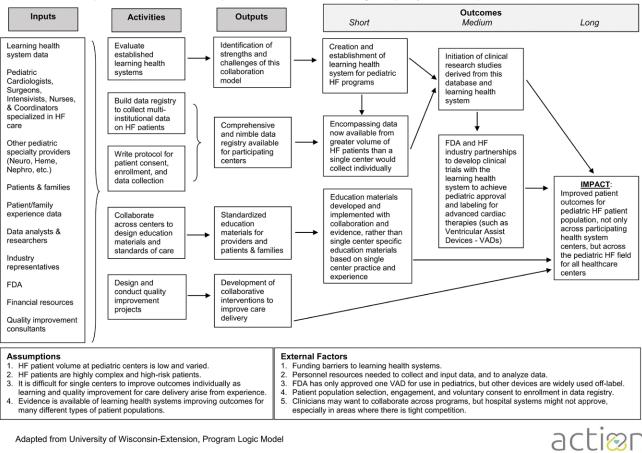


Figure 1. Learning health system approach to improving outcomes for pediatric heart failure (HF) patients, outcome logic model. FDA, Food and Drug Administration; VAD, ventricular assist device.

pediatric center.¹⁵ Another short-term outcome is the preparation and distribution of education materials created through collaboration and best-practice evidence, rather than through center-specific practices and experiences.¹⁶

Medium-term outcomes include the initiation of clinical research studies derived from the learning health system data repository. The aim of these studies, in part, would be to foster Food and Drug Administration (FDA) and VAD industry partnerships which would facilitate clinical trial development designed to obtain pediatric approval and labeling for other VADs.⁹ The long-term outcome of this learning health system would be improved patient outcomes for the entire pediatric heart failure and VAD patient community. The impact of this program is that knowledge and best practices for heart failure and VAD patient care delivery will spread beyond the learning health system to the entire field, and, in turn, outcomes will be improved on a large scale for all patients independent of where they receive their health care.¹⁸

External factors. The external factors in this model include barriers and factors that affect the situation and problem. Funding is necessary to develop and operationalize a learning health system, and availability of funding (or lack thereof) is a major external factor to be considered. Hospital systems are already financially burdened as they have been facing financial difficulty and fiscal constraints in the last decade because of economic decline. Therefore, funding and

financing a learning health system and paying for personnel required to staff the system is a prominent external factor to consider.¹⁹ Hospital administrators may also be skeptical about close collaboration in areas with tight geographical competition.

Another external factor concerns the FDA's involvement in the VAD field. Currently, the FDA has approved only one VAD for use in pediatrics, though many devices are widely used off-label within pediatric health care centers.⁹ In addition, the heart failure and VAD patient population itself is an external factor. Patients and families must be willing to engage with clinicians and researchers, to consent to data collection and analysis, and participate as key stakeholders in the learning health system for quality improvement efforts and other system initiatives to succeed.¹⁰

Stakeholders

Beginning in April 2017, participating centers initiated a series of meetings to better understand the key elements and core objectives of ACTION. The present state of ACTION, and the collaborative culture that characterizes the current state of the network, emerged from approximately 1 year of discussion and refinement of the initial ideas. Current centers to date are listed in **Table 1**. The structure of ACTION was envisioned from the outset to be broadly interdisciplinary. As such, an inclusive

| Table 1. | Participating | Centers To-Da | te (September | 2019), | Listed Alphabetically | / |
|----------|---------------|---------------|---------------|--------|-----------------------|---|
| | | | | | | |

| Boston Children's Hospital C.S. Mott Children's Hospital Children's Health Dallas Children's Healthcare of Atlanta Children's Heart Center at Mount Sinai Hospital Children's Hospital at Montefiore Children's Hospital of Colorado Children's Hospital of Philadelphia Children's Hospital of Philadelphia Children's Hospital of Pittsburgh Children's Hospital of Wisconsin Children's Mercy Kansas City Children's National Medical Center Children's of Alabama Cincinnati Children's Hospital Medical Center Cleveland Clinic Children's Hospital Duke Children's Hospital Le Bonheur Children's Hospital Loma Linda University Children's Hospital Lucile Packard Children's Hospital Stanford | Lurie Children's Hospital of Chicago Monroe Carell Jr. Children's Hospital at Vanderbilt Morgan Stanley Children's Hospital of New York Pres Nationwide Children's Hospital Norton Children's Hospital Ochsner Hospital for Children Phoenix Children's Hospital Primary Children's Hospital Riley Hospital for Children Seattle Children's Hospital St. Louis Children's Hospital Stollery Children's Hospital Texas Children's Hospital The Hospital for Sick Children UCSF Benioff Children's Hospital UF Health Shands Children's Hospital University of Minnesota Masonic Children's Hospital Yale New Haven Children's Hospital |
|---|--|
|---|--|

approach was taken to identify key stakeholders and the goals of their participation or nature of claim (**Table 2**). The resulting participants include patients and family members, independent patient-advocacy organizations, health care providers from multiple disciplines (*i.e.* physicians, surgeons, nurses, coordinators, and perfusionists), as well as industry representatives, regulatory representatives, and health care payors. Through this broad representation, a truly inclusive and comprehensive view of challenges and objectives has emerged and will continue to evolve.

Organizational Structure

The organizational structure that emerged from the yearlong design discussions is shown in **Figure 2**. The key features of this structure include cochairs from different institutions; an executive leadership group with broad oversight responsibility; a broad leadership group to provide focused feedback on specific initiatives; a number of committees (described below in further detail) which are long-lived and will have varying focus over time; and a stakeholder group to ensure that broad input cuts across different committees and projects. Additional committees can be seen in **Figure 2**.

Initial ACTION Projects

Although ACTION, from its inception, was envisioned as a tool to improve outcomes for pediatric heart failure, a number of pragmatic considerations have led to an initial

| Stakeholder Group | Nature of Claim | | | | |
|-------------------|--|--|--|--|--|
| Parents/families | Improved care by standardization and innovation | | | | |
| Providers | Standard consensus and protocols to decrease unnecessary variation | | | | |
| Administrators | Better improve outcomes, decrease cost, and improve hospital flow | | | | |
| Industry | Build relationships with clinicians to improve devices, better outcomes | | | | |
| Regulators | Work with industry and clinicians to improve the device labeling process | | | | |
| Payors | Decrease unnecessary hospital utilization | | | | |

focus on pediatric VAD patients. This group is both more discrete and more readily defined than the global pediatric population with heart failure, and in addition, these children bear an extraordinary burden associated with VAD therapy. The initial goal of ACTION, in response to these circumstances, is to reduce the frequency and severity of stroke associated with VAD care in children. The key components most amenable to intervention that have emerged from the design process include the following:

York Presbyterian

- 1.Improved management of anticoagulation in VAD patients
- 2.Improved hemodynamic management in VAD patients
- 3.Improved communication among the providers in the inpatient VAD care team.

As these projects gain momentum, other efforts will be targeted at stroke reduction using Plan-Do-Study-Act methodology. In concert with these QI projects, ACTION members are developing educational materials for both patients and providers.

Lessons Learned

The ACTION network has made great strides in its first years of existence. Through steady word of mouth, active recruitment through meetings and personal connections, and promotion through Twitter and our website, participation has now expanded to 37 sites (as of September 2019) across North America with tremendous membership engagement. The launch process has been prolonged in large part because of the protracted processes of securing institutional review board (IRB) approval with a central IRB and establishing data use agreements (DUAs) among all institutions. We believe that the delays from a central IRB will be recovered with ease of long-term maintenance, but that remains to be seen. The time required to establish DUAs in an era of growing privacy regulation has been substantial and will continue to be a significant factor governing the evolution of additional networks in the future. Nevertheless, to date, 22 of 37 sites have established complete DUA and IRB regulatory approval.

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| ACTION Organizational Structure | | | Co-Chairs | | | | | | | |
|------------------------------------|---|--|------------------------------|--|------------------------|---|---------------------------------|-------------------------------------|--------------------------------|--|
| Marc | March 2019 | | | Š O | O Preside over network | | | | | |
| all per th | | Executive Leadership Establish policies & strategic planning for management, operations, and quality improvement, | | | | | | | | |
| 60 Min Call per Month | provide governance and sponsorship of other committees, and make decisions on major network issues Leadership Leaders of committees and learning labs; responsible for strategy, development, and project execution for their respective ar eas | | | | | | | | | |
| 30 Min Call per Month | QI Resource Committee ◀ | Data Committee | Research Committee ──► | Patient & Family Experience Committee | Education Committee | Discharge & Outpatient Committee | Harmoni- zation Committee | Utilization & Value Committee | Peds/Adult CHD Committee | Heart Failure Phase 2 Committee |
| 60 Min Global QI Call per Month | QI / Research Proposals (discussed on monthly leadership call) | | | | | | | | | |
| | REDUCING STROKE Anticoagulation | | | | | | | | | |
| | Hemodynamics/Blood Pressure Communication | | | | | | | | | |
| | STAKEHOLDERS | | | | SUPPORT STAFF | | | | | |

Figure 2. Structure. CHD, congenital heart disease.

Next Steps

Heart Failure expansion

Phase 2 of the ACTION network will focus on children with acute decompensated heart failure requiring hospitalization for heart failure therapy. Discussions are currently underway regarding the specific patient definition for inclusion, the data collection strategy, and other operational details. It is anticipated that specific efforts in heart failure care improvement will commence sometime in 2019.

Cardiac Networks United and Other Partnerships

As has been identified by other observers, the current landscape of registries and learning health systems represents a series of siloed observations and data collection among similar patient populations. Although each unique initiative brings together expertise and engagement, this model simultaneously faces challenges in scaling up with each silo introducing inefficiencies related to redundancy of purpose and operations. Cardiac Networks United has been developed as an attempt to bring diverse registries and networks together to realize operational efficiency and cost-reduction. ACTION is an active participant in these efforts, with the goal of sharing data across networks and reducing data entry and extraction efforts.²⁰

Secure Funding Model

A central challenge to all of the existing and proposed networks is the issue of ongoing funding. No single solution to this challenge has emerged, with some networks relying on philanthropic support, whereas others have secured (at least for now), support from hospitals. ACTION is no different from other networks in this regard. In the early phase of development, ACTION was supported by a combination of philanthropy and support from Cincinnati Children's Hospital Medical Center. More recently, ACTION has achieved a more balanced funding model that includes ongoing support from Cincinnati Children's Hospital Medical Center, grant funding from private philanthropic organizations, site fees, and industry support, both for operations and in support of specific data needs. Because of the multiple sources of funding, site fees have been kept at a modest level that has been acceptable to participating sites regardless of VAD volume. Due to a focus on VAD initiatives, there is potential for support from industry partners to a larger degree than might be possible for networks with other areas of focus.

Conclusions

The learning health system model is an effective, feasible, and sustainable approach to improving outcomes for rare orphan pediatric populations. The low volume, high complexity pediatric heart failure and VAD population is an example of a population that will benefit greatly from collaboration. Such a model of collaboration and improvement increases the speed, efficiency, and effectiveness of knowledge generation, innovation, and dissemination. This allows for the development of care practices by content experts, leading to standardization of best practices and outcomes improvement across the system. $^{\scriptscriptstyle 21}$

The overall impact of the learning health system model is that knowledge and best practices for care delivery are spread across an entire field rather than individual systems. As a result, outcomes will be improved on a large-scale regardless of a patient's location of care, and a momentous impact can be realized across entire fields of medicine.¹⁸ Established learning health systems such as NPC-QIC, ICN, and PR-COIN demonstrate the effectiveness of this approach to standardizing and spreading best practices and for improving patient outcomes.^{22–24} ACTION affords the opportunity and provides the means by which collaboration between administrators, clinicians, researchers, regulators, payors, industry, patients, and families can improve the critical outcomes in this population.

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